

SANCTIONS LIST

Drug Policy Suspension	<u>Rodney Noble</u> June 21, 2007
Drug Policy Suspension	<u>Charles W. Vitatoe, Jr.</u> June 21, 2007
Drug Policy Suspension	<u>Gary Adkins</u> June 19, 2007
Drug Policy Suspension	<u>Cory Leason</u> June 14, 2007
Drug Policy Suspension	<u>Fidel Ramon Morales</u> June 14, 2007
Drug Policy Suspension	<u>Carlos L. Sturgill</u> June 14, 2007
Drug Policy Suspension	<u>Shane H. Gambrel</u> June 14, 2007
Drug Policy Suspension	<u>Gary Pendergrass</u> June 14, 2007
Drug Policy Suspension	<u>Anthony W. Adams</u> June 12, 2007
Drug Policy Suspension	<u>E.J. Estep</u> June 11, 2007
Drug Policy Suspension	<u>Mitchell John Napier</u> June 7, 2007
Drug Policy Suspension	<u>Jody R. Rose</u> June 6, 2007
Drug Policy Suspension	<u>Stephen M. Kilgore</u> June 6, 2007
Drug Policy Suspension	<u>Larry N. Tooley, Jr.</u> June 6, 2007
Drug Policy Suspension	<u>Gregory T. Francis</u> June 6, 2007
Drug Policy Suspension	<u>Andrew T. Hurley</u> June 6, 2007
Drug Policy Suspension	<u>Howie J. Young</u> May 31, 2007

Drug Policy Suspension	<u>Mike Holman</u> May 29, 2007
Drug Policy Suspension	<u>Jeremy Wallen</u> May 29, 2007
Drug Policy Suspension	<u>Jeff Noble</u> May 29, 2007
Drug Policy Suspension	<u>Ralph Sparks</u> May 25, 2007
Drug Policy Suspension	<u>Jerry D. Morgan</u> May 25, 2007
Drug Policy Suspension	<u>Jerry Morgan</u> May 25, 2007
Drug Policy Suspension	<u>Dimont Harris</u> May 21, 2007
Drug Policy Suspension	<u>Irvin Stacy</u> May 17, 2007
Drug Policy Suspension	<u>Billy J. Sexton</u> May 17, 2007
Drug Policy Suspension	<u>Jarrod L. Sergent</u> May 17, 2007
Drug Policy Suspension	<u>Michael Anderson</u> May 17, 2007
Drug Policy Suspension	<u>Terry Michael Branham</u> May 17, 2007
Drug Policy Suspension	<u>Danny Cole</u> May 17, 2007
Drug Policy Suspension	<u>Ricky Casebolt</u> May 17, 2007
Drug Policy Suspension	<u>Doyle E. Gay</u> May 16, 2007
Drug Policy Suspension	<u>Robert Shackey</u> May 16, 2007
Drug Policy Suspension	<u>Steven Michael Epling</u> May 14, 2007

Drug Policy Suspension	<u>William M. Back</u> May 11, 2007
Drug Policy Suspension	<u>Rocky Niece</u> May 10, 2007
Drug Policy Suspension	<u>William P. Eldridge</u> May 8, 2007
Drug Policy Suspension	<u>Matthew H. Childress</u> May 7, 2007
Drug Policy Suspension	<u>David C. Richardson</u> May 4, 2007
Drug Policy Suspension	<u>Jeffery Slone</u> May 4, 2007
Drug Policy Suspension	<u>David T. McDaniels</u> May 4, 2007
Drug Policy Suspension	<u>Landon Collins</u> May 4, 2007
Drug Policy Suspension	<u>Bryan K. Bowles</u> May 4, 2007
Drug Policy Suspension	<u>Carroll Gene Harris</u> May 4, 2007
Drug Policy Suspension	<u>Raleigh Keith Hensley</u> May 1, 2007
Drug Policy Suspension	<u>Scotty Wayne Sifers</u> May 1, 2007
Drug Policy Suspension	<u>Jesse D. Scott</u> May 1, 2007
Drug Policy Suspension	<u>David A. Laws</u> May 1, 2007
Drug Policy Suspension	<u>Michael B. Peterson</u> April 17, 2007
Drug Policy Suspension	<u>Richard L. Caudill</u> April 17, 2007
Drug Policy Suspension	<u>Jamie Tackett</u> April 17, 2007
Drug Policy Suspension	<u>Douglas Couch</u>

April 12, 2007

Drug Policy Suspension Blake R. Bondurant
April 12, 2007

Drug Policy Suspension Tracey Ratliff
April 10, 2007
Revocation General Blaster License, Surface Miner & Temp
Surface Miner Certs 6/14/07

Drug Policy Suspension Joshua Stafford
March 29, 2007

Drug Policy Suspension Christopher Dunn
March 27, 2007

Drug Policy Suspension A.J. Fields Hazard
Suspended March 21, 2007
Settlement – April 20, 2007
Sanctions: 1 year Probation Surface Miner Cert 4/26/08
 Evaluation by an addiction professional and enroll
 in treatment/counseling, if necessary
 Submit to drug testing every 30 days
Probation Completed:
Evaluation Completed: **YES – 4/19/07** (No treatment necessary)

Drug Policy Suspension Clayton Bernard Banks
March 21, 2007

Drug Policy Suspension Doug Begley
March 19, 2007

Drug Policy Suspension James Cornett
March 19, 2007

Drug Policy Suspension Cova Nantz
March 19, 2007

Drug Policy Suspension Jerry S. Sumner, Jr.
March 19, 2007

Drug Policy Suspension Rodney Estep Pikeville
Suspended March 16, 2007
Settlement – April 20, 2007
Sanctions: 1 year Probation UG Miner Cert (4/26/08)
 1 year Probation Gas Detection Cert (4/26/08)
 Evaluation by an addiction professional and enroll
 in treatment/counseling, if necessary
 Submit to drug testing once every 30 days
Probation Completed:
Evaluation Completed: **YES – 4/18/07** (No treatment necessary)

Drug Policy Suspension	<u>Nicholas William Smith</u> March 15, 2007
Drug Policy Suspension	<u>Bobby Gene Charles, Jr.</u> March 15, 2007
Drug Policy Suspension	<u>Michael Sparks</u> March 15, 2007
Drug Policy Suspension	<u>Timothy L. Sammons</u> March 13, 2007
Drug Policy Suspension	<u>Phillip Hudson</u> March 13, 2007
Drug Policy Suspension	<u>Robert W. Schmaltz</u> March 9, 2007
Drug Policy Suspension	<u>Kris Caudill</u> March 9, 2007
Drug Policy Suspension	<u>Adam L. Isaac</u> March 9, 2007
Drug Policy Suspension	<u>Michael D. Hannah</u> March 8, 2007
Drug Policy Suspension	<u>Forrest Davis</u> Pikeville Suspended March 2, 2007 Settlement – April 20, 2007 Sanctions: 1 year Probation UG Miner Cert (4/26/08) 1 year Probation Surface Miner Cert (4/26/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing once every 30 days Probation Completed: Evaluation Completed: YES – 4/3/07 (Counseling was recommended and he has enrolled. Needs to complete 4 outpatient sessions.) Counseling Completed: YES – 4/24/07
Drug Policy Suspension	<u>Joseph Cook</u> February 28, 2007
Drug Policy Suspension	<u>Jeffery Steve Meadows</u> February 27, 2007
Drug Policy Suspension	<u>James E. Lewis</u> February 27, 2007
Drug Policy Suspension	<u>Claude W. Hall</u> February 27, 2007

Drug Policy Suspension	<u>Jonathan D. Branham</u> Hazard Suspended February 27, 2007 Settlement – March 16, 2007 Sanctions: 1 year Probation Surface Miner Cert (4/26/08) 1 year Probation MET Cert (4/26/08) 1 year Suspension Surface Mine Foreman Cert (4/26/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing once every 30 days Probation Completed: Suspension Completed: Evaluation Completed: YES – 4/23/07 (Counseling was recommended and Branham has enrolled.) Counseling Completed:
Drug Policy Suspension	<u>Jason Worley</u> February 23, 2007
Drug Policy Suspension	<u>Marcus E. Combs</u> February 23, 2007
Drug Policy Suspension	<u>Alonzo F. Fugate</u> February 22, 2007
Drug Policy Suspension	<u>Charles B. Sizemore</u> February 16, 2007
Drug Policy Suspension	<u>Samuel Lee Napier</u> February 15, 2007
Drug Policy Suspension	<u>Joshua James Ison</u> February 15, 2007
Drug Policy Suspension	<u>Charlie Ward</u> February 14, 2007
Drug Policy Suspension	<u>Chris W. Johnson</u> Suspended February 14, 2007 Revocation UG Miner Cert 5/10/07
Drug Policy Suspension	<u>James Joseph</u> February 14, 2007
Drug Policy Suspension	<u>Albert J. Kilburn</u> Hazard Suspended February 14, 2007 Settlement – April 20, 2007 Sanctions: 1 year Probation UG Miner Cert (4/26/08) 1 year Probation Temp Surface Miner Cert (4/26/08)

Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing every 30 days

Probation Completed:

Evaluation Completed: **YES – 4/25/07** (No treatment necessary)

Drug Policy Suspension

Joshua W. Gamble Hazard

Suspended February 14, 2007

Settlement – March 16, 2007

Sanctions: 1 year Probation Surface Miner Cert (3/22/08)

Submit to drug testing once every 30 days

Probation Completed:

Drug Policy Suspension

Cody L. Denton

February 13, 2007

Drug Policy Suspension

Robert F. Ellison

February 9, 2007

Drug Policy Suspension

Dennis Branim Harlan

Suspended February 8, 2007

Settlement – March 16, 2007

Sanctions: 1 year Probation Gas Detection Cert (3/22/08)

1 year Probation UG Miner Cert (3/22/08)

Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary

Submit to drug testing every 30 days

Probation Completed:

Evaluation Completed: **YES – 3/14/07** (No treatment necessary)

Drug Policy Suspension

Eddie D. Goley

February 8, 2007

Drug Policy Suspension

James Housley

February 8, 2007

Drug Policy Suspension

Steven C. Fields

February 8, 2007

Drug Policy Suspension

David E. Caudill

Madisonville

Suspended February 1, 2007

Settlement – March 16, 2007

Sanctions: 1 year Probation Belt Examiner Cert (4/26/08)

1 year Probation UG Miner Cert (4/26/08)

1 year Probation Surface Miner Cert (4/26/08)

1 year Suspension Shot-Firer Conventional Cert
(4/26/08)

Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary

Submit to drug testing once every 30 days

Probation Completed:

Suspension Completed:

Evaluation Completed: **YES – 3/28/07** (Counseling was recommended and Caudill has enrolled.)
Counseling Completed: **YES – 5/14/07**

Drug Policy Suspension	<u>Timothy W. Langdon</u> Hazard Suspended January 31, 2007 Settlement – February 8, 2007 Sanctions: 1 year Probation UG Miner Cert (3/22/08) 1 year Probation Surface Miner Cert (3/22/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 3/21/07 (No treatment necessary)
Drug Policy Suspension	<u>Shawn David Moore</u> January 30, 2007
Drug Policy Suspension	<u>James L. Smith</u> Hazard Suspended January 29, 2007 Settlement – March 16, 2007 Sanctions: 1 year Probation UG Miner Cert (3/22/08) 1 year Probation Electrical Worker Cert (3/22/08) UG Mine Foreman Cert will remain suspended until Smith completes probationary period Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Suspension Completed: Evaluation Completed: YES – 3/19/07 (Currently enrolled in outpatient counseling) Counseling Completed: YES – 4/30/07
Drug Policy Suspension	<u>Michael Bentley</u> January 25, 2007
Drug Policy Suspension	<u>Claude S. Sargent</u> Suspended January 24, 2007 Revocation Surface Miner Cert 4/25/07
Drug Policy Suspension	<u>Jason Lee Johnson</u> January 23, 2007
Drug Policy Suspension	<u>Ricky L. Smith</u> Suspended January 22, 2007 Revocation UG Miner & Surface Miner Certs 4/25/07
Drug Policy Suspension	<u>Justin Derrick Ratliff</u> Suspended January 19, 2007 Revocation Temp UG and Surface Miner Certs 5/10/07

Drug Policy Suspension	<u>Jarrold R. Adams</u> January 17, 2007
Drug Policy Suspension	<u>Mickey Ison</u> January 16, 2007
Drug Policy Suspension	<u>Michael R. Faustich</u> Harlan Suspended January 16, 2007 Settlement – March 16, 2007 Sanctions: 1 year Probation UG Miner Cert (3/22/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 3/22/07 (No treatment necessary)
Drug Policy Suspension	<u>Michael Kent Mullins</u> January 9, 2007
Drug Policy Suspension	<u>Robert Joseph</u> January 9, 2007
Drug Policy Suspension	<u>William H. Lewis</u> January 4, 2007
Drug Policy Suspension	<u>Oral Wade Hoskins</u> January 4, 2007
Drug Policy Suspension	<u>Bobby L. Smith</u> Hazard Suspended January 4, 2007 Settlement – February 8, 2007 Sanctions: 1 year Probation UG Miner Cert (3/22/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 2/7/08 (No treatment necessary)
Drug Policy Suspension	<u>Michael Smith</u> January 4, 2007
Drug Policy Suspension	<u>Walter Young</u> January 4, 2007
Drug Policy Suspension	<u>Michael Ray King</u> January 3, 2007
Drug Policy Suspension	<u>Jason Pauley</u> January 3, 2007
Drug Policy Suspension	<u>Mark A. Preece</u> January 2, 2007

Drug Policy Suspension	<u>William P. Ball</u> Harlan Suspended January 2, 2007 Settlement – February 8, 2007 Sanctions: 1 year Probation UG Miner Cert (2/22/08) 1 year Probation Gas Detection Cert (2/22/08) 1 year Probation Drill Operator Cert (2/22/08) UG Mine Foreman, MET and Shot Firer Certs suspended until Ball completes probationary period Continue AA program through probation or until counselors certify completion of program Probation Completed: Suspension Completed: Counseling Completed:
Drug Policy Suspension	<u>Brandon Hensley</u> December 22, 2006
Drug Policy Suspension	<u>Darryl O. McNary</u> December 22, 2006
Drug Policy Suspension	<u>Brian Douglas Green</u> Harlan Suspended December 19, 2006 Settlement – February 8, 2007 Sanctions: 1 year Probation UG Miner Cert (3/22/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 2/22/07 (No treatment necessary)
Drug Policy Suspension	<u>Timothy P. Christopher</u> Suspended December 19, 2006 Revocation of UG Miner, UG Mine Foreman and Gas Detection Certs 5/10/07
Drug Policy Suspension	<u>Robert Charles Larsen</u> December 19, 2006
Drug Policy Suspension	<u>Willis Lewis, Jr.</u> December 15, 2006
Drug Policy Suspension	<u>Anthony Gregory</u> Suspended November 7, 2006 Revocation of UG Miner Cert 5/10/07
Drug Policy Suspension	<u>Richard D. Robinson, Jr.</u> Harlan Suspended December 14, 2006 Permanent Revocation UG Miner Cert 3/16/07
Drug Policy Suspension	<u>Timothy Nantz</u> Harlan Suspended December 14, 2006

Settlement – January 11, 2007

Sanctions: 1 year Probation UG Miner Cert (1/25/08)
1 year Probation Gas Detection Cert (1/25/08)
Continue counseling and treatment program during
probation period unless certified by counselor that
treatment is completed
Submit to drug testing once every 30 days

Probation Completed:

Counseling Completed:

Drug Policy Suspension

Dustin M. Eddings

December 14, 2006

Drug Policy Suspension

Terry Wayne Bevins

Suspended December 14, 2006

Revocation UG Miner, Electrical Worker, First Aid, Gas
Detection, MET, Mine Foreman, Shot firer-conventional & Shot
firer-solid blasting certificates 3/22/07

Drug Policy Suspension

Donald R. Nantz Harlan

Suspended December 14, 2006

Settlement – January 11, 2007

Sanctions: 1 year Probation UG Miner Cert (1/25/08)
Continue counseling and treatment program during
probation period unless certified by counselor that
treatment is completed
Submit to drug testing once every 30 days

Probation Completed:

Counseling Completed:

Drug Policy Suspension

William K. Jervis Harlan

Suspended December 12, 2006

Settlement – February 8, 2007

Sanctions: 1 year Probation UG Miner Cert (3/22/08)
Submit to drug testing once every 30 days

Probation Completed:

Drug Policy Suspension

Gregory A. Maggard Hazard

Suspended December 12, 2006

Settlement – January 11, 2007

Sanctions: 1 year Probation UG Miner Cert (2/22/08)
1 year Probation Gas Detection Cert (2/22/08)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing every 30 days

Probation Completed:

Evaluation Completed: **YES – 1/4/07** (No treatment necessary)

Drug Policy Suspension

Scott C. Sutton

Suspended December 11, 2006

Revocation Surface Miner Cert 3/22/07

Drug Policy Suspension	<u>Devin Newsome</u> December 7, 2006
Drug Policy Suspension	<u>Brien Wilson</u> Suspended December 7, 2006 Revocation Surface Miner Cert 4/25/07
Drug Policy Suspension	<u>James Andrew Green</u> December 6, 2006
Drug Policy Suspension	<u>Timothy V. Hardy</u> Madisonville Suspended December 6, 2006 Settlement – January 11, 2006 Sanctions: 1 year Probation UG Miner Cert (1/25/08) 1 year Probation Gas Detection Cert (1/25/08) Continue counseling and treatment program during probation period unless certified by counselor that treatment is completed Submit to drug testing once every 30 days Probation Completed: Counseling Completed:
Drug Policy Suspension	<u>Ryan S. Franklin</u> December 4, 2006
Drug Policy Suspension	<u>Leslie Maynard</u> Suspended November 30, 2006 Revocation UG Miner Cert 3/22/07
Drug Policy Suspension	<u>Shawn E. Ailiff</u> Suspended November 30, 2006 Revocation of Temp Surface Miner, Temp UG Miner and UG Miner Certs 5/10/07
Drug Policy Suspension	<u>Mansford Gibson, Jr.</u> November 29, 2006
Drug Policy Suspension	<u>Gregory A. Blanton</u> Harlan Suspended November 29, 2006 Settlement – December 14, 2006 Sanctions: 1 year Probation UG Miner Cert (1/25/08) 1 year Probation Gas Cert (1/25/08) Submit to drug testing every 30 days Probation Completed:
Drug Policy Suspension	<u>Joshua R. Joseph</u> Hazard Suspended November 28, 2006 Settlement – February 8, 2007 Sanctions: 1 year Probation UG Miner Cert (2/22/08) Participate in substance abuse counseling until program certifies he has successfully completed program

	Submit to drug testing once every 30 days
	Probation Completed:
	Counseling Completed:
Drug Policy Suspension	<u>Jamie Osborne</u> Suspended November 17, 2006 Revocation UG Miner Cert 3/22/07
Drug Policy Suspension	<u>Jonathan D. Tackett</u> Hazard Suspended November 16, 2006 Settlement – November 9, 2006 Sanctions: 1 year Probation UG Miner Cert (1/25/08) 1 year Probation Drill Operator Cert (1/25/08) 1 year Probation Gas Detection Cert (1/25/08) Conventional and solid blasting shot firer certificates to remain suspended until probation period is completed Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing once every 30 days Probation Completed: Suspension Completed: Evaluation Completed: YES – 1/8/07 (No treatment necessary)
Drug Policy Suspension	<u>Kyle Whitney Sammons</u> Suspended November 16, 2006 Revocation Surface Miner Cert 4/25/07
Drug Policy Suspension	<u>Thomas N. Slone</u> Suspended November 16, 2006 Revocation UG Miner Cert 4/25/07
Drug Policy Suspension	<u>Hearrel B. Ray</u> Suspended November 15, 2006 Revocation UG Miner & Temp Surface Miner Certs 4/25/07
Drug Policy Suspension	<u>Jeremy Maxie</u> Suspended November 9, 2006 Revocation Temp Surface Miner Cert 3/22/07
Drug Policy Suspension	<u>Nicholas M. Ward</u> November 6, 2006
Drug Policy Suspension	<u>Brian S. Bowen</u> November 6, 2006
Drug Policy Suspension	<u>Jim Yinger</u> Suspended November 2, 2006 Revocation UG Miner & Gas Detection Certs 4/25/07
Drug Policy Suspension	<u>Richard Forest Watkins, Sr.</u> Madisonville Suspended November 2, 2006

Settlement – January 11, 2007

Sanctions: 1 year Probation Temp Surface Miner Cert (1/25/08)
1 year Probation Electrical Worker Cert (1/25/08)
1 year Probation UG Miner Cert (1/25/08)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing every 30 days

Probation Completed:

Evaluation Completed: **YES – 1/12/07** (No treatment necessary)

Drug Policy Suspension

Lacy Pauley, Jr.

Suspended November 2, 2006

Revocation Surface Miner & Temp UG Miner Certs 4/25/07

Drug Policy Suspension

James Dean

October 26, 2006

Drug Policy Suspension

Kenny Marcum Martin

Suspended October 26, 2006

Settlement – December 14, 2006

Sanctions: 1 year probation Surface Miner's Cert (1/4/08)
Submit to drug testing once every 30 days
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary

Probation Completed:

Evaluation Completed: **Yes – 12/19/06** (No treatment necessary)

Drug Policy Suspension

Kenneth W. Spangler Hazard

Suspended October 26, 2006

Settlement – December 14, 2006

Sanctions: 1 year probation UG Miner's Cert (1/4/08)
1 year probation Gas Detection Cert (1/4/08)
Submit to drug testing once every 30 days

Probation Completed:

Drug Policy Suspension

James Scott Pikeville

Suspended October 25, 2006

Settlement – December 14, 2006

Sanctions: 1 year probation UG Miner's Cert (1/4/08)
1 year probation Gas Detection Cert (1/4/08)
1 year probation Electrical Worker's Cert (1/4/08)
Submit to drug testing once every 30 days

Probation Completed:

Drug Policy Suspension

Kenneth R. Mounts

Suspended October 25, 2006

Revocation Surface Mine Foreman, Surface Miner, Gas Detection and MET Certs 3/22/07

Drug Policy Suspension

Charles Jason Cantrell

Suspended October 25, 2006

Revocation Surface Miner & Gas Detection Certs 4/25/07

Drug Policy Suspension	<u>Anthony Bledsoe</u> Harlan Suspended October 23, 2006 Settlement – January 11, 2007 Sanctions: 1 year Probation UG Miner Cert (1/25/08) 1 year Probation Surface Miner Cert (1/25/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing once every 30 days Probation Completed: Evaluation Completed: YES – 1/15/07 (No treatment necessary)
Drug Policy Suspension	<u>Ross Ewing</u> Suspended October 23, 2006 Settlement – January 11, 2007 Sanctions: 1 year Probation UG Miner Cert (2/22/08) 1 year Probation Gas Detection Cert (2/22/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 1/25/07 (No treatment necessary)
Drug Policy Suspension	<u>Jessie Creech</u> October 23, 2006
Drug Policy Suspension	<u>Earl Bransford Feltner</u> Suspended October 23, 2006 Revocation Surface Miner's Cert 4/25/07
Drug Policy Suspension	<u>Keith Tackett</u> Martin Suspended October 17, 2006 Permanent Revocation Surface Miner Cert 6/14/07
Drug Policy Suspension	<u>Stephen Todd Maggard</u> Suspended October 17, 2006 Revocation Temp Surface Miner and UG Miner Certs 3/22/07
Drug Policy Suspension	<u>Jason Maggard</u> Suspended October 13, 2006 Revocation UG Miner and Gas Detection Certs 3/22/07
Drug Policy Suspension	<u>Troy A. Collett</u> October 13, 2006
Drug Policy Suspension	<u>Earl Caudill</u> Suspended October 13, 2006 Revocation UG Miner's Cert 2/12/07
Drug Policy Suspension	<u>Timothy R. Blanton</u> Harlan Suspended October 13, 2006

Settlement – December 14, 2006

Sanctions: 1 year probation UG Miner's Cert (1/4/08)
Submit to drug testing once every 30 days
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary

Probation Completed:

Evaluation Completed: **Yes – 12/19/06** (No treatment necessary)

Drug Policy Suspension

Ernest Toler

Suspended October 12, 2006

Revocation UG Miner & Gas Detection Certs 4/25/07

Drug Policy Suspension

Roger Ben Tibbs

October 12, 2006

Drug Policy Suspension

Donald Hurt

Suspended October 12, 2006

Drug Policy Suspension

Ricky Eversole Hazard

Suspended October 10, 2006

Settlement – January 11, 2007

Sanctions: 1 year Probation UG Miner Cert (1/25/08)
1 year Probation Gas Detection Cert (1/25/08)
UG Mine Foreman Cert will remain suspended until
Eversole completes probation period
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing every 30 days

Probation Completed:

Evaluation Completed: **YES – 1/11/07** (No treatment necessary)

Drug Policy Suspension

Clarence Dwayne Coots Hazard

Suspended October 10, 2006

Settlement – January 11, 2007

Sanctions: 1 year Probation UG Miner Cert (1/25/08)
1 year Probation Surface Miner Cert (1/25/08)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing once every 30 days

Probation Completed:

Evaluation Completed: **YES – 1/23/07** (No treatment necessary)

Drug Policy Suspension

Stuart Cantrell Pikeville

Suspended October 10, 2006

Settlement – December 14, 2006

Sanctions: 1 year probation Surface Miner's Cert (1/4/08)
1 year suspension Blasting License (1/4/08)
Submit to drug testing once every 30 days

Probation Completed:

Suspension Completed:

Drug Policy Suspension

Gary Michael Young

October 10, 2006

Drug Policy Suspension	<u>Ronald Jim Dean</u> Suspended October 9, 2006 Revocation Temp Surface Miner Cert 4/25/07
Drug Policy Suspension	<u>Christopher M. Saylor</u> Suspended October 6, 2006 Revocation Surface Miner's Cert 4/25/07
Drug Policy Suspension	<u>Teddy Green</u> Harlan Suspended October 5, 2006 Settlement – November 9, 2006 Sanctions: 1 year Probation UG Miner Cert (2/22/08) 1 year Probation Gas Detection Cert (2/22/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 2/7/07 (No treatment necessary)
Drug Policy Suspension	<u>Edison D. Garrett</u> Suspended October 5, 2006 Revocation Surface Miner, Surface Mine Foreman and MET Certs 3/22/07
Drug Policy Suspension	<u>Roger Dean Little</u> Pikeville Suspended October 4, 2006 Settlement – December 14, 2006 Sanctions: 1 year Probation UG Miner Cert (1/25/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing once every 30 days Probation Completed: Evaluation Completed: YES – 1/17/07 (Counseling for alcoholism) Counseling Completed:
Drug Policy Suspension	<u>Jimmy A. McCary</u> Pikeville Suspended October 4, 2006 Revocation Temp UG Miner Cert & Surface Miner Cert on 2/12/07
Drug Policy Suspension	<u>Michael Wayne Hayes</u> Suspended October 4, 2006 Revocation UG Miner Cert 3/22/07
Drug Policy Suspension	<u>Terry G. Webb</u> Suspended September 29, 2006 Revocation UG Miner's Cert 2/12/07
Drug Policy Suspension	<u>David Prow</u>

Suspended September 29, 2006
Revocation Surface Miner's Cert, UG Miner's Cert, Electrical &
Gas Detection Cert 2/12/07

Drug Policy Suspension Paul Edwards Bowens, Jr. Pikeville
Suspended September 29, 2006
Revocation Surface Miner's Cert on 1/16/07

Drug Policy Suspension Matthew D. Fluty
September 22, 2006

Drug Policy Suspension Clayton Slone Hazard
Suspended September 21, 2006
Revocation UG Miner's Cert, Surface Miner's Cert, Gas Detection
Cert 2/12/07

Drug Policy Suspension Mark Hinkle
Suspended September 20, 2006
Permanent Revocation Surface Miner, UG Miner, MET & Gas
Detection Certs 6/14/07

Drug Policy Suspension Chris Borders
Suspended September 19, 2006
Settlement – October 12, 2006
Sanctions: 1 year probation UG Miner's Cert (10/26/07)
 1 year probation Gas Detection Cert (10/26/07)
 Submit to drug testing once every 30 days
Probation Completed:

Drug Policy Suspension Charles G. Conn Martin
Suspended September 29, 2006
Settlement – November 9, 2006
Sanctions: 1 year probation UG Miner's Cert (1/4/08)
 1 year probation Gas Detection Cert (1/4/08)
 Submit to drug testing once every 30 days
Probation Completed:

Drug Policy Suspension Troy Belcher Pikeville
Suspended September 18, 2006
Revocation Temp Surface Miner's Cert 2/9/07

Drug Policy Suspension Treavor Slone
Suspended September 13, 2006
Revocation UG Miner's Cert & Gas Detection Cert 2/12/07

Drug Policy Suspension Jason S. Cowan
September 13, 2006

Drug Policy Suspension Roy Hunt
September 13, 2006

Drug Policy Suspension John W. Wright

Suspended September 11, 2006
Revocation UG Miner's Cert 2/12/07

Drug Policy Suspension Christopher L. Brown
September 7, 2006

Drug Policy Suspension Timothy N. Caudill
Suspended September 6, 2006
Permanent Revocation UG Miner & Temp Surface Miner Certs
3/16/07

Drug Policy Suspension Robbie E. Collins
Suspended September 6, 2006
Settlement – October 12, 2006
Sanctions: 1 year probation Surface Miner's Cert (10/26/07)
 1 year probation UG Miner's Cert (10/26/07)
 1 year probation Gas Detection Cert (10/26/07)
 Submit to drug testing once every 30 days
Probation Completed:

Drug Policy Suspension Ronnie Caldwell
Suspended September 1, 2006
Permanent Revocation of UG Miner's and Gas Detection Certs
5/10/07

Drug Policy Suspension Jeffrey N. Eldridge
Suspended August 30, 2006
Revocation Surface Miner's Cert on 1/16/07

Drug Policy Suspension Ronnie Engle
Suspended August 30, 2006
Permanent Revocation of UG Miner's Cert 5/10/07

Drug Policy Suspension Johnny Ray James
Suspended August 29, 2006
Revocation UG Miner's Cert on 1/16/07

Drug Policy Suspension William J. Russell
Suspended August 28, 2006
Revocation UG Miner's Cert on 1/16/07

Drug Policy Suspension Richard F. Bray
August 28, 2006

Drug Policy Suspension Jonathan L. Stewart
Suspended August 28, 2006
Settlement – October 12, 2006
Sanctions: 1 year probation UG Miner's Cert (10/26/07)
 Submit to drug testing once every 30 days
Probation Completed:

Drug Policy Suspension	<u>Ralph Hurley</u> Hazard Suspended August 25, 2006 Permanent Revocation UG Miner, drill operator, gas detection, conventional and solid blasting shot firer Certs 6/14/07
 Drug Policy Suspension	 <u>Bruce Strunk</u> August 25, 2006
 Drug Policy Suspension	 <u>Michael A. Clark</u> Harlan Suspended August 25, 2006 Settlement – September 28, 2006 Sanctions: 1 year Probation UG Miner's Cert (9/28/07) 1 year Probation Temporary Surface Miner Cert (9/28/07) 1 year Probation MET Cert (9/28/07) Submit to drug testing once every 60 days Probation Completed:
 Drug Policy Suspension	 <u>Edgar Caudill, Jr.</u> Suspended August 24, 2006 Revocation UG Miner's Cert on 1/16/07
 Drug Policy Suspension	 <u>Larry J. Robinson</u> Pikeville Suspended August 23, 2006 Settlement – April 26, 2007 Sanctions: 1 year Probation UG Miner Cert (4/26/07) 1 year Probation Temp Surface Miner Cert (4/26/07) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing once every 30 days Probation Completed: Evaluation Completed: YES – 11/8/07 (Robinson voluntarily enrolled in substance abuse treatment.) Treatment Completed: YES – 3/8/07
 Drug Policy Suspension	 <u>Anthony C. Caudill</u> Suspended August 23, 2006 Revocation UG Miner's Cert on 1/16/07
 Drug Policy Suspension	 <u>Jeremy D. Powell</u> Suspended August 21, 2006 Settlement – October 12, 2006 Sanctions: 1 year probation UG Miner's Cert (10/26/07) 1 year probation Gas Detection Cert (10/26/07) Submit to drug testing once every 30 days Probation Completed:
 Drug Policy Suspension	 <u>Christopher S. Smith</u> Hazard Suspended August 17, 2006 Revocation Temp UG Miner's Cert 2/9/07

Drug Policy Suspension	<u>Marlin Williams</u>	Hazard
	Suspended August 17, 2006	
	Permanent Revocation Surface Miner Cert 6/14/07	
Drug Policy Suspension	<u>John Inman</u>	
	Suspended August 17, 2006	
	Permanent Revocation UG Miner & Gas Detection Certs 3/16/07	
Drug Policy Suspension	<u>Jackie S. Williams</u>	Hazard
	Suspended August 17, 2006	
	Permanent Revocation Temp UG Miner Cert 6/14/07	
Drug Policy Suspension	<u>Everett Lyons, Jr.</u>	
	August 17, 2006	
Drug Policy Suspension	<u>John M. Fraley</u>	Hazard
	Suspended August 17, 2006	
	Revocation Temp Surface Miner's Cert 2/9/07	
Drug Policy Suspension	<u>Gary L. Pierce</u>	Madisonville
	Suspended August 16, 2006	
	Settlement – November 9, 2006	
	Sanctions:	1 year probation UG Miner's Cert (1/4/08)
		1 year probation Gas Detection Cert (1/4/08)
		Submit to drug testing once every 30 days
	Probation Completed:	
Drug Policy Suspension	<u>Tristan Keathley</u>	
	Suspended August 16, 2006	
	Revocation Temporary Surface Miner's Cert 1/16/07	
Drug Policy Suspension	<u>Kevin Justice, Jr.</u>	
	Suspended August 16, 2006	
	Revocation Temporary UG Miner's Cert on 1/16/07	
Drug Policy Suspension	<u>Ricky Cantrell</u>	
	Suspended August 16, 2006	
	Revocation Surface Miner's and MET Cert on 1/16/07	
Drug Policy Suspension	<u>Christopher L. Stamper</u>	Madisonville
	Suspended August 16, 2006	
	Settlement – September 28, 2006	
	Sanctions:	1 year Probation UG Miner's Cert (9/28/07)
		Submit to drug testing once every 30 days
	Probation Completed:	
Drug Policy Suspension	<u>Madison Litteral</u>	
	Suspended August 16, 2006	
	Revocation UG Miner's Cert on 1/16/07	
Drug Policy Suspension	<u>Jeffery Mulkey</u>	Martin
	Suspended August 16, 2006	

Settlement – September 28, 2006

Sanctions: 1 year probation Surface Miner's Cert (9/28/07)
Submit to drug testing once every 60 days

Probation Completed:

Drug Policy Suspension

Roger D. Russell Hazard

Suspended August 16, 2006

Settlement – September 28, 2006

Sanctions: 1 year probation Surface Miner's Cert (9/28/07)
Submit to drug testing once every 60 days

Probation Completed:

Drug Policy Suspension

Rodney Stalker

Suspended August 16, 2006

Permanent Revocation of Surface Miner's Cert 5/10/07

Drug Policy Suspension

Anthony O. Davis

Suspended August 16, 2006

Settlement – September 26, 2006

Sanctions: 1 year Probation Surface Miner's Cert (9/28/07)
Submit to drug testing once every 60 days
Temporary UG Miner's, UG Miner's, Surface
Miner Foreman and Convention Shot Firer
Certificates subject to reinstatement once Davis has
successfully completed probation

Probation Completed:

Drug Policy Suspension

Arie D. Baisden

Suspended August 16, 2006

Revocation Surface Miner's Cert on 1/16/07

Drug Policy Suspension

Jerry Chaffins

Suspended August 16, 2006

Revocation Temporary UG Miner's and Surface Miner's Cert on
1/16/07

Drug Policy Suspension

Glen Daniels Hazard

Suspended August 10, 2006

Settlement – September 28, 2006

Sanctions: 1 year Probation Surface Miner's Cert (9/28/07)
Submit to drug testing once every 60 days

Probation Completed:

Drug Policy Suspension

Joshua D. Stidham

Suspended August 4, 2006

Permanent Revocation Surface Miner Cert, Temp UG Miner Cert
6/14/07

Drug Policy Suspension

Shade Campbell

Suspended August 4, 2006

Revocation Surface Miner's Cert on 1/16/07

Drug Policy Suspension	<u>Michael S. Miller</u> Hazard Suspended August 4, 2006 Permanent Revocation Surface Miner Cert 6/14/07
Drug Policy Suspension	<u>Michael Dunigan</u> Hazard Suspended August 2, 2006 Settlement – February 8, 2007 Sanctions: 1 year Probation Surface Miner Cert (2/22/08) 1 year Probation Temp UG Miner Cert (2/22/08) Participate in substance abuse counseling until program certifies he has successfully completed program Submit to drug testing once every 30 days Probation Completed: Counseling Completed:
Drug Policy Suspension	<u>Donald G. Combs</u> Hazard Suspended August 2, 2006 Settlement – February 8, 2007 Sanctions: 1 year Probation Surface Miner Cert (2/22/08) 1 year Probation MET Cert (2/22/08) Surface Mine Foreman Cert subject to reinstatement once Combs has successfully completed probation Participate in substance abuse counseling until program certifies he has successfully completed program Submit to drug testing once every 30 days Probation Completed: Counseling Completed: YES – 3/5/07
Drug Policy Suspension	<u>Ronnie Shepherd</u> Hazard Suspended August 1, 2006 Revocation Temp UG Miner's Cert 2/12/07
Drug Policy Suspension	<u>Russell Dean Asher</u> Harlan Suspended July 31, 2006 Settlement – August 24, 2006 Sanctions: 1 year Probation Surface Miner's Cert (8/24/07) Submit to drug testing once every 60 days Probation Completed:
Drug Policy Suspension	<u>Danny R. Tackett</u> Pikeville Suspended July 31, 2006 Settlement – September 28, 2006 Sanctions: 1 year Probation UG Miner's Cert (10/2/07) 1 year Probation UG Mine Foreman's Cert (10/2/07) 1 year Probation MET Cert (10/2/07) Submit to drug testing once every 60 days Probation Completed:
Drug Policy Suspension	<u>Bryan Sprader</u>

Suspended July 26, 2006
Revocation UG Miner Cert 3/22/07

Drug Policy Suspension William Alex Brock Harlan
Suspended July 24, 2006
Revocation UG Miner's Cert on 1/16/07

Drug Policy Suspension John Boggs Harlan
Suspended July 24, 2006
Settlement – August 24, 2006
Sanctions: 1 year probation Surface Miner's Cert (8/24/07)
 1 year probation MET Cert (8/24/07)
 1 year probation Surface MF Cert (8/24/07)
 1 year probation General Blaster's Cert (8/24/07)
 Submit to drug testing once every 60 days
Probation Completed:

Drug Policy Suspension William M. Thacker
Suspended July 18, 2006
Settlement – September 28, 2006
Sanctions: 1 year Probation Surface Miner's Cert (10/4/07)
 Submit to drug testing once every 60 days
Probation Completed:

Drug Policy Suspension Paul B. Holbrook
Suspended July 14, 2006

Drug Policy Suspension Randy Halcomb Hazard
Suspended July 14, 2006
Settlement – August 24, 2006
Sanctions: 1 year probation Surface Miner's Cert (8/24/07)
 Participate in substance abuse counseling until
 program certifies he has successfully completed
 program
 Submit to drug testing once every 60 days
Probation Completed:
Counseling Completed:

07-MSRC-018 Timothy Dean Lester Pikeville
Settlement – April 20, 2007
Sanctions: 1 year Probation Mine Foreman's Cert (4/20/08)
 8 hours special safety training w/in 45 days (6/4/07)
Probation Completed:
Training Completed:

06-MSRC-001 Clyde J. Dingess Pikeville
Settlement – April 13, 2006
Sanctions: 6 month Probation UG Mine Foreman Cert A-180-02
 (10/13/06)
Probation Completed:

06-MSRC-002 Ray Lamb Harlan

Default – October 12, 2006

Sanctions: 2 year revocation UG Mine Foreman's Cert (10/12/08)
2 year probation UG Mine Shot Firer's Cert (10/12/08)
2 year probation UG Miner's Cert (10/12/08)
After two year revocation period must retake and pass
Kentucky Underground Mine Foreman Examination
8 hours special safety training w/in 45 days (11/27/06)

Revocation Completed:

Probation Completed:

Exam:

Training Completed:

06-MSRC-003

Sonny Ward

Martin

Revocation of UG Mine Foreman, UG Miner, Gas Detection, Drill
Operator, Shot Firer-Conventional and Shot Firer-Solid Blasting
Certificates 5/10/07

06-MSRC-003

Kevin R. Yonts

Martin

Settlement – December 14, 2006

Sanctions: 6 month Probation UG Mine Foreman Cert (12/14/07)
6 month Probation UG Coal Miner Cert (12/14/07)
6 month Probation MET (12/14/07)
6 month Probation Shot Firer-Conventional Cert (12/14/07)
6 month Probation Gas Detection Cert (12/14/07)
8 hours special safety training w/in 45 days (1/29/07)

Probation Completed:

Training Completed: **YES – 2/23/07**

06-MSRC-004

Bobby Young

Hazard

Settlement – July 13, 2006

Sanctions: 2 year Probation Electrical Worker's Cert HD-262-05
(7/13/08)
2 year Probation UG Coal Miner Cert (7/13/08)
2 year Probation UGM Foreman Cert A-205-2 (7/13/08)
2 year Probation Gas Detection Cert PE-119-93 (7/13/08)
8 hour Special Safety Training w/in 45 days (8/28/06)

Probation Completed:

Training Completed:

06-MSRC-004

Michael P. Collins

Hazard

Settlement – July 13, 2006

Sanctions: 2 year Probation Electrical Worker's Cert HD-277-05
(7/13/08)
2 year Probation UG Coal Miner Cert (7/13/08)
2 year Probation UGM Foreman Cert A-193-01 (7/13/08)
2 year Probation Gas Detection Cert HD-007-04 (7/13/08)
8 hour Special Safety Training w/in 45 days (8/28/06)

Probation Completed:

Training Completed:

06-MSRC-004

Jerry Oliver

Hazard

Settlement – July 13, 2006

Sanctions: 2 year Probation UGM Foreman Cert A-25-90 (7/13/08)
2 year Probation UG Miner Cert (7/13/08)
2 year Probation Shot Firer-Conventional Cert HD-26-90 (7/13/08)
2 year Probation MET Cert HD-277-97 (7/13/08)
2 year Probation Gas Detection Cert HD-51-88 (7/13/08)
8 hour Special Safety Training w/in 45 days (8/28/06)

Probation Completed:

Training Completed:

06-MSRC-006

Rick Darrell Fouts Hazard

Settlement – August 31, 2006

Sanctions: 1 year Suspension UG Mine Foreman Cert A-154-93 (8/31/07)
1 year Probation UG Mine Cert (8/31/07)
1 year Probation EMT Cert 20994 (8/31/07)
1 year Probation Gas Detection Cert HD-282-95 (8/31/07)
1 year Probation Shot Firer Cert MN-00509 (8/31/07)
8 hour Special Safety Training w/in 45 days (10/16/06)

Suspension Completed:

Probation Completed:

Training Completed: **Yes – 10/27/06**

06-MSRC-007

William G. Ratliff Pikeville

Settlement – June 8, 2006

Sanctions: 6 month Probation UGM Foreman Cert A-62-05 (12/8/06)
6 month Probation UG Coal Mine Cert (12/8/06)
6 month Probation Shot Firer-Solid Blasting Cert PE-024-05 (12/8/06)
6 month Probation Shot Firer-Conventional Cert PE-025-05 (12/8/06)
6 month Probation MET Cert PE-045-00 (12/8/06)
6 month Probation Drill Operator Cert PE-024-05 (12/8/06)
8 hour special safety training w/in 45 days @ OMSL
Pikeville Office (7/24/06)

Training Completed: **YES – 7/23/06**

Probation Completed:

06-MSRC-007

Steven R. Lester Pikeville

Default – May 16, 2006

Sanctions: 2 year Probation Electrical Cert PE-506-02 (5/16/08)
2 year Probation Gas Detection Cert (5/16/08)
2 year Probation UG Mine Cert PE-187-95 (5/16/08)
8 hour special safety training w/in 45 days @ OMSL
Pikeville Office (6/30/06)

Training Completed:

Probation Completed:

06-MSRC-007

Mickey Stapleton Pikeville

Default – May 16, 2006

Sanctions: 1 year Revoked UGM Foreman's Cert A-152-92 (5/16/07)
2 year Probation UGM Cert (5/16/08)

2 year Probation Shot Firer-Solid Blasting Cert PE-60-82M (5/16/08)
2 year Probation Shot Firer-Conventional Cert PE-29-82G (5/16/08)
2 year Probation Gas Detection Cert MN-391-80 (5/16/08)
2 year Probation Drill Operator Cert PE-37-82M (5/16/08)
8 hour special safety training w/in 45 days @OMSL
Pikeville Office (6/30/06) and re-take and pass UGM Foreman's exam to be re-certified as UGM Foreman

Training Completed:

Revocation Period Completed:

Probation Completed:

Exam Passed:

06-MSRC-008	<u>Keith Collins</u>	Pikeville
	Settlement – June 8, 2006	
	Sanctions:	18 month Probation Mine Foreman Cert (12/8/07) 18 month Probation Electrical Cert (12/8/07)
	Probation Completed:	
06-MSRC-008	<u>Ronald Bartley</u>	Pikeville
	Settlement – July 13, 2006	
	Sanctions:	1 year Probation Mine Foreman Cert (7/13/07) 1 year Probation Drill Operator Cert (7/13/07) 1 year Probation Electrical Cert (7/13/07) 1 year Probation Gas Detection Cert (7/13/07) 1 year Probation MET Cert (7/13/07) 1 year Probation Shot Firers-Solid Blasting Cert (7/13/07) 8 hour Special Safety Training
	Probation Completed:	
	Training Completed:	
06-MSRC-010	<u>Johnnie Belcher</u>	Pikeville
	Settlement – July 13, 2006	
	Sanctions:	18 month Probation Surface Cert (1/13/08) 18 month Probation UG Instructor Cert (1/13/08)
	Probation Completed:	
06-MSRC-011	<u>Harvey B. Campbell</u>	Martin
	Settlement – May 16, 2006	
	Sanctions:	2 year Probation UGM Foreman Cert (5/16/08) 2 year Probation UG Coal Miner Cert (5/16/08) 2 year Probation Gas Detection Cert (5/16/08) 2 year Probation Belt Examiner's Cert (5/16/08) 8 hour Special Safety Training
	Probation Completed:	
	Training Completed: YES – 6/30/06	
06-MSRC-011	<u>Russell L. Stidham</u>	Martin
	Settlement – July 13, 2006	
	Sanctions:	2 year Probation UGM Foreman Cert A-11-04 (7/13/08) 2 year Probation UGM Cert (7/13/08)

2 year Probation First Aid-8 hrs. Cert HD-008-092
(7/13/08)

8 hour Special Safety Training w/in 45 days (8/28/06)

Probation Completed:

Training Completed: **YES – 7/28/06**

06-MSRC-012

Tim Brock Hazard

Settlement – August 11, 2006

Sanctions: 6 month Probation Mine Foreman Cert (2/11/07)

8 hour Special Safety Training w/in 45 days (9/25/06)

Probation Completed:

Training Completed: **YES – 3/22/07**

06-MSRC-012

Gary Simpson Hazard

Settlement – July 13, 2006

Sanctions: 6 month Probation UGM Foreman Cert (1/13/07)

Probation Completed:

06-MSRC-012

Arvil Campbell Hazard

Settlement – July 13, 2006

Sanctions: 6 month Probation UGM Foreman Cert (1/13/07)

8 hour Special Safety Training

Probation Completed:

Training Completed: **YES – 6/30/06**

06-MSRC-012

Shannon Hurt Hazard

Default – July 13, 2006

Sanctions: 6 month Probation Electrical Cert HD-305-84 (1/13/07)

1 year Probation Surface Miner's Cert (7/13/07)

8 hour Special Safety Training w/in 45 days (8/28/06)

Probation Completed:

Training Completed: **YES – 2/27/07**

06-MSRC-013

Robert Stanley Smith Hazard

Settlement – September 14, 2006

Sanctions: 2 year Revocation Mine Foreman's Cert (9/14/08)

2 year Revocation Gas Detection Cert (9/14/08)

2 year Revocation Shot Fire Solid Blasting Cert (9/14/08)

2 year Revocation Short Firer Conventional Cert (9/14/08)

2 year Revocation Drill Operator Cert (9/14/08)

2 year Probation UG Miner's Cert (9/14/08)

8 hour Special Safety Training w/in 45 days (10/30/06)

Revocation Completed:

Probation Completed:

Training Completed: **Yes – 10/27/06**

06-MSRC-014

David Chester Bevins Pikeville

Default – July 13, 2006

Sanctions: 1 year Probation Surface Miner's Cert (7/13/07)

1 year Probation UGM Cert (7/13/07)

6 month Probation Gas Detection Cert PE-672-82 (1/13/07)

8 hour Special Safety Training w/in 45 days (8/28/06)

Probation Completed:
Training Completed: **YES – 8/30/06**

06-MSRC-016

Jeffery D. McGuire Martin

Settlement – July 13, 2006

Sanctions: 1 year Suspension Miner Foreman's Cert (7/13/07)
1 year Probation UG Coal Miner Cert (7/13/07)
1 year Probation Drill Operator Cert (7/13/07)
1 year Probation Electrical Worker's Cert (7/13/07)
1 year Probation Shot Firers Cert (7/13/07)
1 year Probation Shot Firers-Solid Blasting Cert (7/13/07)
8 hour Special Training w/in 45 days (8/28/06)

Suspension Completed:

Probation Completed:

Training Completed: **YES – 8/11/06**

06-MSRC-032

Harold David Mosley Martin

Default – October 12, 2006

Sanctions: 2 year suspension Mine Foreman Cert (10/12/08)
2 year probation Mining Instructors UG Cert (10/12/08)
2 year probation Gas Detection Cert (10/12/08)
2 year probation Drill Operator's Cert (10/12/08)
2 year probation Electrical Worker's Cert (10/12/08)
2 year probation EMT Cert (10/12/08)
2 year probation MET Cert (10/12/08)
2 year probation Shot Firer Solid Blasting Cert (10/12/08)
2 year probation Shot Firer Conventional Cert (10/12/08)
8 hours special safety training w/in 45 days (11/27/06)

Suspension Completed:

Probation Completed:

Training Completed: **YES – 12/01/06**

06-MSRC-032

Alvin Noble Martin

Default – October 12, 2006

Revocation UG Miner, UG Mine Foreman, Assistant Mine Foreman, Drill Operator, First Aid, Gas Detection, MET, Shot Firer-Conventional, Shot Firer-Solid Blasting & Surface Mine Foreman Certs 3/22/07

06-MSRC-032

Jeffrey McGuire Martin

Settlement – October 12, 2006

Sanctions: Permanent revocation Mine Foreman's Cert
3 year suspension Drill Operator's Cert (10/12/09)
3 year suspension Gas Detection Cert (10/12/09)
3 year suspension Shot Firer Conventional Cert (10/12/09)
3 year suspension Shot Firer Solid Blasting Cert (10/12/09)
4 year probation Electrical Worker's Cert (10/12/10)

Suspension Completed:

Probation Completed:

06-MSRC-061

John Goins Barbourville

Settlement – December 19, 2006

Sanctions: 1 year Probation Surface Miner's Cert (12/19/07)

8 hr Special Safety Training

Training Completed: **YES**

Probation Completed:

06-MSRC-061	<u>Robert Griffin</u>	Barbourville
	Settlement – December 14, 2006	
	Sanctions: 1 year Revocation Surface Miner Cert (5/10/08) 8 hours of special safety training w/in 45 days of his application for recertification	
06-MSRC-074	<u>Lawrence Kendrick</u>	Martin
	Settlement – January 17, 2007	
	Sanctions: 1 year Probation Mine Foreman Cert (1/17/08) 8 hr Special Safety Training	
	Probation Completed:	
	Training Completed: YES – 2/27/07	
06-MSRC-074	<u>Terry Sturgill</u>	Martin
	Revocation UG Miner and Gas Detection Certs 3/22/07	
06-MSRC-074	<u>Nathan Sturgill</u>	Martin
	Revocation UG Miner Cert 3/22/07	
05-MSRC-001	<u>Jerry L. Morman</u>	Pikeville
	Default—May 26, 2005	
	Sanctions: 1 year Probation UG Miner Cert (5/26/06) 8 hr Special Safety Training w/in 45 days (7/10/05)	
	Training Completed:	
	Probation Completed: YES – 5/26/06	
05-MSRC-002	<u>Alan B. Pigman</u>	Martin
	Settlement—April 19, 2005	
	Sanctions: Indefinite Probation of Temp UG Miner Cert. # MN-0164-03 until 40 hr inexperienced miner training and pass UG Miner Exam w/in 120 days (8/17/05) and probation for 1 year upon passing and receiving Permanent UG Miner Exam	
	Training Completed: YES - 8/3/05	
	Exam passed:	
	Probation Completed:	
05-MSRC-003	<u>Charles Vanderpool</u>	Martin
	Settlement—April 19, 2005	
	Sanctions: 1 year Probation UG Miner Cert (4/19/06) 8 hr special safety training w/in 45 days (6/3/05)	
	Training Completed:	
	Probation Completed: YES – 4/19/06	
05-MSRC-004	<u>Simon Ratliff</u>	
	On Appeal to FCC	
05-MSRC-005	<u>Alger Jent</u>	Hazard

Settlement – September 14, 2006

Sanctions: 2 year Probation UG Mine Foreman's Cert A-792-82
(9/14/08)

2 year Probation Assistant UG Mine Foreman's Cert A-
173-78 (9/14/08)

Probation Completed:

05-MSRC-005

Jerry D. Morgan Hazard

Settlement—March 24, 2005

Sanctions: 1 year Probation of Elect. Cert PE-208-03 (3/24/06)
4-8 hr electrical training with D.Johnson w/in 45
days 120 days (7/22/05) to take and pass Electrical

Exam Training Completed: **YES**

Exam passed: **YES – 4/26/06**

Probation completed: **YES – 3/24/06**

05-MSRC-005

James E. Byrd Hazard

Settlement—October 11, 2005

Sanctions: 2 year Probation UG Miner Cert A-138-02 (10/11/07)
120 days (2/8/06) to take and pass UGMF exam

Exam passed: **YES - 2/23/06**

Probation completed:

05-MSRC-006

Dennis Jewell Martin

Settlement—May 16, 2005

Sanctions: 1 year Probation Electrician Cert. and UG Miner Cert.
(5/16/06)

8 hr special safety training w/in 45 days (6/30/05)

Training completed: **YES - 6/29/05**

Probation completed: **YES – 5/16/06**

05-MSRC-007

Hobert Hoskins Hazard

Default—November 10, 2005

Sanction: 60 day Suspension UGM cert A-51-00 (1/9/06)
8 hr special safety training w/in 45 days (12/25/05)

Suspension completed: **YES - 1/9/06**

Training completed:

05-MSRC-007

Rodney Morgan

Settlement—January 4, 2006

Sanctions: 1 year Probation UG Miner Cert (1/4/07)

Probation completed:

05-MSRC-008

Larry Chapman Hazard

Settlement—May 23, 2005

Sanctions: 1 year Revocation Electrical Cert PE-205-04 (5/23/06)
1 year Probation UG Miner Cert (5/23/06)
4-8 hours special electrical training w/in 60 days
(7/22/05)

Training completed: **YES - 6/13/05**

Revocation completed: **YES – 5/23/06**

Probation completed: **YES – 5/23/06**

05-MSRC-009	<u>Homer Sams</u>	Barbourville
	Settlement – December 14, 2006	
	Sanctions: 1 year Probation UG Mine Foreman Cert (12/14/07)	
	1 year Probation UG Miner Cert (12/14/07)	
	8 hours special safety training w/in 120 days (4/13/07)	
	Probation Completed:	
	Training Completed: YES – 2/27/07	
05-MSRC-009	<u>Delmas Jackson</u>	Barbourville
	Settlement – December 14, 2006	
	Sanctions: 1 year Probation Electrical Worker Cert (12/14/07)	
	1 year Probation UG Miner Cert (12/14/07)	
	8 hours special safety training w/in 120 days (4/13/07)	
	Probation Completed:	
	Training Completed:	
05-MSRC-009	<u>Lonnie Rice</u>	Barbourville
	Settlement – December 14, 2006	
	Sanctions: 6 month Probation UG Mine Foreman Cert (6/14/07)	
	6 month Probation Shot Firer-Conventional Cert (6/14/07)	
	6 month Probation Electrical Worker Cert (6/14/07)	
	6 month Probation Surface Mine Foreman Cert (6/14/07)	
	6 month Probation UG Miner Cert (6/14/07)	
	6 month Probation Surface Miner Cert (6/14/07)	
	8 hours special safety training w/in 120 days (4/13/07)	
	Probation Completed:	
	Training Completed:	
06-MSRC-009	<u>Floyd Hibbard</u>	Barbourville
	Settlement – December 14, 2006	
	Sanctions: 2 year Probation UG Mine Foreman Cert (12/14/08)	
	2 year Probation Belt Examiner Cert (12/14/08)	
	2 year Probation UG Miner Cert (12/14/08)	
	8 hours special safety training w/in 120 days (4/13/07)	
	Probation Completed:	
	Training Completed: YES – 2/27/07	
*05-MSRC-012	<u>Ann Epperson</u>	Barbourville
	Settlement—February 9, 2006	
	Sanctions: Permanent Revocation of Surface Mine Instructor	
	Cert SI-127-94	
	1 year Probation MET HN-054-97 (2/9/07)	
	1 year Probation Surface Mine ForemanS-204-94	
	(2/9/07)	
	1 year Probation Surface Miner Cert (2/9/07)’	
	Probation completed:	
04-MSRC-001	<u>Marty Helton</u>	Harlan
	Settlement—March 31, 2004	
	Sanctions: Indefinite Suspension of UMGF Cert A-80-93 upon	

taking 8 hr safety training & taking and passing UGMF exam

Indefinite Suspension of Electrician Cert HN-184-00 upon taking 4 hr electrical training from chief electrical inspector and passing electrical exam

Indefinite Suspension of UG Mine Instructor MI-3-00 until take and pass UGMF exam

Training completed: **YES - —5/27/04 (Safety Training)**
YES - 12/21/04 (Electrical Training)

Exam passed: **YES - 6/2/04 UGMF exam**

04-MSRC-002 Willie “Tick” Sizemore Barbourville
On appeal to FCC 05-CI-1408

04-MSRC-002 Jimmy Morgan Barbourville
Settlement—March 18, 2004
Sanctions: 6 month Suspension UGMF cert A-151-97 (9/21/04)
 8 hr safety training w/in 30 days (4/18/04)
Training completed: **Yes – 5/24 & 5/25/04**
Suspension period completed: **YES - 9/21/04**

04-MSRC-002 Floyd Hibbard Barbourville
Settlement—June 16, 2004
Sanctions: 9 month Probation UGMF A-778-81 (3/16/05)
 8 hr safety training w/in 30 days (7/16/04)
Training completed: **Yes – 3/31/06**
Probation completed: **YES - 3/16/05**

04-MSRC-003 Donavan Asher
Settlement—April 14, 2004
Sanctions: 1 year Probation UGMF A-6-97 (4/14/05)
 Attend UGMF class (Barbourville or Hazard
 and pass UGMF exam w/in 1 year (4/14/05)
Training completed:
Exam passed:

04-MSRC-004 Jeffery Ambrose Hazard
Settlement—May 19, 2004
Sanctions: Indefinite Suspension of UGMF A-156-96 upon taking
 and passing UGMF exam & 1 year Probation from the
 date that he passes UGMF exam & prohibited from
 taking MET exam for 2 years.
Exam passed: **took UGMF class but FAILED exam**
Probation completed:

04-MSRC-007 George C. Waugh Martin
Settlement—January 11, 2005
Sanctions: 2 year Probation UGMF A-48-02 (1/11/07)
 8 hr special safety training w/in 45 days (2/25/05)
Training completed: **YES - 3/1/05**
Probation completed:

*04-MSRC-008	<u>Terry Triplett</u>	
	Settlement—September 2, 2004	
	Sanctions:	Permanent Revocation Surface Mine Instructor SI-5-98 Permanent Revocation MET Cert WI-012-98 1 year Suspension UGMF A-194-86
	Suspension period completed:	YES
04-MSRC-010	<u>Robert Dotson</u>	Martin
	Settlement—November 23, 2004	
	Sanctions:	18 month Probation UGM cert (related prior offense = 03-MSRC-017) (5/23/06) 8 hr special safety training w/in 35 days (12/28/04)
	Training Completed:	YES - 1/6/05
	Probation completed:	YES - 5/23/06
04-MSRC-010	<u>Paul J. Cochran</u>	Harlan
	Settlement—August 19, 2004	
	Sanctions:	3 year Probation UGM cert (prohibited from taking UGMF exam while on probation) (8/19/07) 8 hr special safety training w/in 45 days (10/3/04)
	Training completed:	
	Probation completed:	
04-MSRC-011	<u>Raymond Little, Jr.</u>	Martin
	Settlement—April 18, 2005	
	Sanctions:	1 year Probation UGMF cert A-48-82 (4/18/06) 1 year Probation Shot Firer Cert MN-028-80 (4/18/06) 1 year Probation UGM cert (4/18/06) 8 hr safety training w/in 45 days (6/2/05)
	Training completed:	YES - 6/29/05
	Probation completed:	YES - 4/18/06
04-MSRC-011	<u>Gary L. Meyers</u>	Martin
	Settlement—April 18, 2005	
	Sanctions:	1 year Probation Shot Firer MN-60-82 (4/18/06) 1 year Probation UGM cert (4/18/06) 8 hr safety training for Blasters w/in 45 days (6/2/05) take & pass Blaster exam w/in 120 days (8/16/05)
	Training completed:	YES - 6/29/05
	Exam passed:	
	Probation completed:	YES - 4/18/06
04-MSRC-011	<u>Tommy Osborne</u>	Martin
	Settlement—May 2, 2005	
	Sanctions:	1 year Probation Shot Firer Cert MN-002-01 (6/2/06) 1 year Probation UGM cert (6/2/06) 8 hr blaster safety training w/in 45 days (6/16/05) take & pass Blaster exam w/in 120 days (8/30/05)
	Training completed:	YES - 7/11/05
	Exam passed:	
	Probation completed:	YES - 6/2/06

04-MSRC-011	<u>John H. Mullins</u>	Martin
	Settlement—April 18, 2005	
	Sanctions: 1 year Probation Shot Firer cert MN-014-96 (4/18/06)	
	1 year Probation UGM cert (4/18/06)	
	8 hr blaster safety training w/in 45 days (6/2/05)	
	take & pass Blaster exam w/in 120 days (8/16/05)	
	Training completed: YES - 6/29/05	
	Exam passed:	
	Probation completed: YES - 4/18/06	
04-MSRC-011	<u>Hubert Thomas</u>	Martin
	Settlement—May 26, 2005	
	Sanctions: 1 year Probation Drill Operator license (5/26/06)	
	1 year Probation Shot Firer HD-026-83 (5/26/06)	
	1 year Probation UGM cert (5/26/06)	
	8 hr blaster safety training w/in 45 days (7/10/05)	
	take & pass Blaster exam w/in 120 days (9/23/05)	
	Training completed:	
	Exam passed:	
	Probation completed: YES - 5/26/06	
04-MSRC-013	<u>Steven T. Moore</u>	Harlan
	Settlement—November 29, 2004	
	Sanctions: 1 year Revocation UGMF A-213-98 (11/29/05)	
	1 year Probation UGM Cert (11/29/05)	
	4 hr special safety training w/in 45 days (1/13/05)	
	take & pass UGMF exam before being recertified	
	Training completed:	
	Probation completed: YES - 11/29/05	
	Revocation period completed: YES - 11/29/05	
	Exam passed:	
04-MSRC-013	<u>Lonnie Couch</u>	Harlan
	Settlement—January 13, 2005	
	Sanctions: 1 year Revocation UG Mining Instructor MI-100-91 (1/13/06)	
	1 year Probation UGMF A-16-92 (1/13/06)	
	1 year Probation UGM Cert (1/13/06)	
	8 hr special safety training w/in 45 days (2/27/05)	
	take & pass UGMF exam w/in 120 days (5/13/05)	
	Training completed:	
	Probation completed: YES - 1/13/06	
	Revocation period completed: YES - 1/13/06	
	Exam passed:	
04-MSRC-013	<u>Robert Woods</u>	Harlan
	Settlement—November 29, 2004	
	Sanctions: 2 year Probation UGM Cert (11/29/06)	
	4 hr safety training w/in 45 days (1/13/05)	
	Training completed:	
	Probation completed:	

04-MSRC-014	<u>Claudius Leon Dotson</u> Settlement—March 21, 2005 Sanctions: 18 month Probation Electrical License PE-028-03 (9/21/06) 18 month Probation UGM cert (9/21/06) Probation completed:
*04-MSRC-015	<u>Urshell Smith</u> Barbourville Settlement—November 30, 2004 Sanctions: Permanent Revocation Surface Mine Instructor Cert SI-94-94 1 year Probation Surface Mine Foreman Cert S-178-82 Probation completed: YES - 11/30/05
04-MSRC-018	<u>Corbett Howell</u> Martin Settlement—April 19, 2005 Sanctions: 6 month Suspension UGMF A-218-90 (10/19/05) Take and pass UGMF exam and then serve 1 year Probation of new certificate 18 month Probation UGM (10/19/06) 8 hr safety training w/in 60 days Training completed: YES - 5/12/05 Suspension period completed: YES - 10/19/05 Probation completed: YES - 5/16/06 Exam passed: NO as of 10/12/05 he failed
04-MSRC-018	<u>Anthony Baker</u> Martin Settlement—February 16, 2005 Sanctions: 1 year Probation UGM (2/17/06) 8 hr safety training w/in 45 days (4/3/05) Training completed: Probation completed: YES - 2/17/06
04-MSRC-018	<u>Corbett Darren Howell</u> Martin Settlement—February 17, 2005 Sanctions: 20 day Suspension UGM (3/9/05) 18 month Probation from end date of Suspension (9/9/06) 8 hr safety training w/in 45 days (4/3/05) Training completed: YES - 3/1/05 Suspension completed: YES - 3/9/05 Probation completed:
04-MSRC	<u>Highlands Mining & Processing Co., Inc.</u> Harlan Settlement – April 13, 2006 Sanctions: Permanently barred from holding a license to operate an underground mine Civil Penalties owed: \$10,000 – PAID – 6/06
04-MSRC-019	<u>Charles Robert Stump</u> Harlan

Settlement – April 13, 2006

Sanctions: Barred from holding a license to operate an underground mine for 3 years (4/13/09)
3 year Revocation UGM Foreman's Cert (4/13/09)
3 year Probation UG Miner's Cert (4/13/09)

Revocation Completed:

Probation Completed:

04-MSRC-019

Gary V. Planck Harlan

Settlement—February 8, 2005

Sanctions: 15 month Probation UGM (5/8/06)
8 hr training w/in 45 days (3/25/05)

Training completed:

Probation completed: **YES – 5/8/06**

04-MSRC-019

Marty Boggs Harlan

Settlement—February 7, 2005

Sanctions: 15 month Probation UGM (5/7/06)
8 hr training w/in 45 days (3/24/05)

Training completed:

Probation completed: **YES – 5/7/06**

04-MSRC-019

Anthony Boggs Harlan

Settlement—February 7, 2005

Sanctions: 6 month Revocation UGMF A-8-99 (8/7/05)
Take and pass UGMF exam and 1 year probation upon passing exam (11/30/06)
18 month Probation UGM (8/7/06)
8 hr safety training w/in 45 days (3/24/05)

Training completed: **YES - 3/3/05**

Revocation period completed: **YES - 8/7/05**

Exam passed: **YES - 11/3/05**

Probation completed:

04-MSRC-019

Tony Dean Harlan

Settlement—February 6, 2005

Sanction: 6 month Revocation UGMF A-183-02
Take and pass UGMF exam and 1 year Probation upon passing exam
18 month Probation UGM (8/6/06)
8 hr safety training w/in 45 days (3/23/05)

Training completed: **YES- 3/3/05**

Revocation period completed: **YES - 8/6/05**

Exam passed:

Probation completed:

04-MSRC-019

Anthony Simpson Harlan

Settlement—December 23, 2004

Sanctions: 1 year Probation UGM (12/23/05)
8 hr safety training w/in 45 days (2/6/05)

Training completed:

Probation completed:

03-MSRC-001	<u>David Gist</u> Barbourville Settlement—March 23, 2003 Sanctions: 1 year Probation UGMF cert A-155-97 (3/23/04) Take & pass UGMF exam to be reinstated Exam passed: Probation completed: YES - 3/23/04
03-MSRC-003	<u>Charles L. Branson</u> Hazard Settlement--March 12, 2003 Sanctions: 30 day Revocation of UGMF A-187-95 Revocation indefinite upon taking and passing UGMF Exam Exam passed: Revocation period completed: *** according to e-mail from Curtis Hall, Branson no longer works in mining industry
03-MSRC-004	<u>Jackie Holbrook</u> Martin (SEE 03-MSRC-005 for sanctions)
03-MSRC-004	<u>Timothy Hopkins</u> Martin Settlement—September 11, 2003 Sanctions: 3 month Revocation of UGMF A-129-91 (12/11/03) 16 hr safety training take and pass UGMF exam and 2 year Probation upon passing UGMF exam Training completed: YES - 1/6 & 1/7 2004 Exam passed: Probation completed:
*03-MSRC-005	<u>Jackie Holbrook</u> Settlement—July 3, 2003 Sanctions: 2 year revocation UGMF A-132-97 Take and pass exam to be re-certified ***** see 03-MSRC-014 UGMF A-132-97 Permanently revoked *** failed to take exam to be recertified-- now works at a surface mine according to Curtis Hall
03-MSRC-008	<u>Kenneth Hamilton</u> Pikeville Settlement—July 21, 2003 Sanctions: 2 year Probation UGMF A-69-04 (7/21/05) 8 hr safety training w/in 60 days (9/19/03) Training completed: Probation completed: YES - 7/21/05
03-MSRC-008	<u>Simon Ratliff</u> Hazard Settlement—July 21, 2003 Sanctions: 2 year Probation UGMF A-164-00 (7/21/05) Indefinite Suspension of Electrical cert PE-382-02

upon completion of 4 hr electrical training and passing
electrical exam within 1 year (7/21/04) or revoked
permanently

Training completed: **YES - 4/20/04**

Exam passed: **YES - 7/21/04 (new # HD-013-04)**

Probation completed: **YES - 7/21/05* [Ratliff has been prosecuted
before the MSRC for violations occurring during the probation
period.]**

03-MSRC-011

Harold Swiney

Default—October 16, 2003

Sanctions: 1 year Revocation Electrical Cert PE-589-02
(10/16/04)
1 year Revocation UGMF A-193-93 (10/16/04)
take & pass Electrical exam to be reinstated
take & pass UGMF exam to be reinstated

Revocation period completed: **YES**

Exam passed:

03-MSRC-012

Robert C. Ratliff, Jr.

Settlement—January 2, 2005

Sanctions: 5 year Revocation UGMF Cert, Drill Operator
and Shot Firer (January 2, 2010)

Revocation period completed:

03-MSRC-012

Eugene Conley

Settlement—November 19, 2004

Sanctions: 5 year Revocation UGMF, SMF, Drill Operator
and Shot Firer (11/19/09)
8 hr safety training before returning to work in coal

Training completed:

Revocation period completed:

*03-MSRC-012

Robert DeLong

Settlement—October 17, 2003

Sanctions: Permanent Revocation Shot Firer
8 hr safety training before returning to work in coal

Training completed: **YES - 6/7/04**

03-MSRC-012

Robert C. Ratliff, Sr.

Settlement—January 5, 2005

Sanctions: 5 year Revocation UGMF, SMF, Drill Operator, Shot
Firer, and Conventional Shot Firer (1/5/10)
8 hr safety training before returning to work in coal

Training completed:

Revocation period completed:

03-MSRC-013

Eugene Conley and Robert C. Ratliff, Sr.

Consolidated with 03-MSRC-012

*03-MSRC-014

Jackie Holbrook

Settlement—November 13, 2003

Sanctions: Permanent Revocation UGMF Cert A-123-97
2 year probation UGM Cert (11/13/05)
Probation completed: **YES - 11/13/05**

03-MSRC-015 James M. Brewer Harlan
Settlement—October 2, 2003
Sanctions: 30 day Suspension UGM followed by 18 month
Probation (5/2/05)
8 hr safety training w/in 30 days
Training completed:
Probation completed: **YES - 5/2/05**

03-MSRC-015 Doyle Gray Harlan
Settlement—October 2, 2003
Sanctions: 1 year Probation UGM (10/2/04)
8 hr safety training w/in 30 days
Training completed:
Probation completed: **YES - 10/2/04**

03-MSRC-017 Robert Dotson, Jr. Martin
Settlement—April 13, 2004
Sanctions: 1 year Probation UGM (4/13/05)
8 hr safety training w/in 30 days
Training completed:
Probation completed: **YES - 4/13/05**

03-MSRC-018 Douglas Cowan Harlan
Settlement—December 5, 2003
Sanctions: 6 month Suspension UGMF A-148-92 followed by
1 year Probation at end of suspension period
6 month Suspension UG Mine Instructor Cert
MI-032-92 followed by 1 year Probation at end of
suspension period
8 hr safety training w/in 30 days
Training completed:
Suspension period completed: **YES - 6/5/04**
Probation completed: **YES - 6/5/05**

03-MSRC-020 Ray Lamb Harlan
Settlement—February 10, 2004
Sanctions: 18 month Probation UGMF A-246-85
8 hr safety training w/in 30 days
Training completed:
Probation completed: **YES - 8/10/05**

03-MSRC-022 David William West
Settlement—January 6, 2004
Sanctions: 3 year Revocation UGMF A-159-90 (1/6/07)
3 year Revocation Electrical Cert MN-068-02 (1/6/07)
3 year Suspension Surface Mine Foreman
Cert S-14-98 (1/6/07)
3 year Probation Hoisting Engineer Cert. MN-007-00

3 year Probation UGM cert
Revocation period completed:
Suspension period completed:
Probation completed:

*02-MSRC-002 Ted Ray Brown
Settlement—April 9, 2002
Sanctions: Permanent Revocation UG Mine Instructor MI-036-90
 Permanent Revocation Surface Mine Instructor
 SI-060-95
 Permanent Revocation UGMF A-25-88
 Permanent Revocation SMF S-238-83

02-MSRC-003 Harold Hacker Hazard
Settlement—February 12, 2003
Sanctions: 18 month Revocation UGMF A-140-94 (8/12/04)
 Take and pass exam to be recertified
 18 month Revocation SMF S-989-85 (8/12/04)
 take & pass exam to be recertified
 18 month Revocation UG Mine Instructor MI-14988
 (8/12/04)
 take & pass exam to be recertified
Revocation period completed: **YES - 8/12/04**
Exams passed:

02-MSRC-006 Billy Wayne Mize Harlan
Settlement—October 10, 2002
Sanctions: 2 year Revocation UGMF A-5-99 (10/10/04)
 3 year Revocation Electrical Cert HN-476-01 (10/10/05)
 Take and pass UGMF exam to be reinstated
 Take and pass Electrical exam to be reinstated
Revocation period completed: **YES**
Exams passed:

02-MSRC-006 Lanious Mize Harlan
Settlement—October 10, 2002
Sanctions: 3 year Revocation UGMF A-786-74 (10/10/05)
 Take and pass UGMF exam to be reinstated
Revocation period completed: **YES**
Exam passed:

*02-MSRC-008 Corbett Howell
Settlement—November 12, 2002
Sanctions: Permanent Revocation Shot Firer Cert MN-929-82
 2 year Probation UGMF Cert A-218-90 (11/12/05)
Probation completed: **YES - 11/12/05**

*02-MSRC-010 Bobby Joe Horn
Settlement—January 9, 2003
Sanctions: Permanent Revocation Surface Mine Instructor
 SI-20-01
 Permanent Revocation UG Mine Instructor MI-15-97

2 year Probation UGMF A-75-96
Probation completed: **YES - 2/4/05**

*02-MSRC-011	<u>Robert L. Stewart</u>	Martin
	Default—February 25, 2003	
	Sanctions: Permanent Revocation UG Mine Instructor MI-16-98	
	Permanent Revocation MET Instructor WI-002-99	
	Permanent Revocation UGMF A-145-96	
01-MSRC-001	<u>David Sturgill</u>	Martin
	Settlement—April 27, 2003	
	Sanctions: 4 year Revocation UGMF A-110-81 (4/27/07)	
	Take and pass exam to be recertified	
	Revocation period completed:	
	Exam passed:	
01-MSRC-001	<u>Paul Clevinger</u>	Martin
	Settlement—April 27, 2003	
	Sanctions: 4 year Revocation UGMF A-354-75	
	Take and pass exam to be recertified	
	Revocation period completed:	
	Exam passed:	
01-MSRC-002	<u>Charles Hensley</u>	
	Settlement—January 8, 2003	
	Sanctions: 2 year Revocation UGMF A-91-96	
	Take and pass UGMF exam to be reinstated	
	Revocation period completed: YES	
	Exam passed:	
01-MSRC-002	<u>Verling Hall</u>	
	Settlement—August 9, 2002	
	Sanctions: 5 year Revocation UGMF A-152-69 (8/9/07)	
	Revocation period completed:	
01-MSRC-002	<u>James Helton</u>	
	Settlement—October 24, 2002	
	Sanctions: 5 year Revocation Electrical Cert HN-430-00	
	(10/24/07)	
	Revocation period completed:	